

# EXCEPTIONAL VALUES OF ENTIRE FUNCTIONS OF FINITE ORDER IN ONE OF THE VARIABLES

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ABSTRACT. Let  $F(z, w)$  be a holomorphic function in  $\mathbb{C}^n \times \mathbb{C}$  of finite order in  $w$  with  $n \geq 2$ . Let  $\Omega$  be the set of points  $z \in \mathbb{C}^n$  where  $F(z, w)$  is a non-constant function omitting a value  $\pi(z)$ . Near a finite accumulation point  $z_0$  of  $\Omega$ , we prove in the main result (Theorem 1) that  $\Omega$  is a local analytic set and  $\pi(z)$  is holomorphic, and show the existence of a proper globally analytic set  $\Delta$  of  $\mathbb{C}^n$  such that either  $\Omega \subset \Delta$  or  $\Omega = \mathbb{C}^n \setminus \Delta$ , being possible in the last case to also determine  $F(z, w)$  in terms of  $\pi(z)$ . We apply this result to several problems. First, we extend a Theorem due to Nishino about exceptional values when near  $z_0$  dimension of  $\Omega$  is  $n$  and assure the existence of a meromorphic function  $\alpha(z)$  in  $\mathbb{C}^n$  such that  $\pi(z) = \alpha(z)$  except at points where  $\alpha(z)$  has poles or  $F(z, w)$  is constant (also being  $F(z, w)$  a polynomial in  $w$  if  $\alpha(z)$  is  $\infty$ ). After, we prove that  $\Omega$  is a local analytic set in  $\mathbb{C}^n$  and the existence of a proper analytic subset  $E$  of  $\mathbb{C}^n$  such that  $\Omega \subset E$  or  $\Omega = \mathbb{C}^n \setminus E$ . Finally, we generalize a Lelong-Gruman Theorem about the set of points  $z$  where  $\pi(z) = 0$ .

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## 1. INTRODUCTION

Consider the product space  $\mathbb{C}^n \times \mathbb{C}$  of  $n + 1$  variables  $z_1, \dots, z_n, w$ , where  $z = (z_1, \dots, z_n) \in \mathbb{C}^n$  and  $w \in \mathbb{C}$ . Let  $F(z, w)$  be a holomorphic function in  $\mathbb{C}^n \times \mathbb{C}$ . Given  $z \in \mathbb{C}^n$ ,  $F(z, w)$  is a holomorphic function in  $\mathbb{C}$ . If  $F(z, w)$  is not constant, according to Picard Theorem,  $F(z, w)$  takes all the values of  $\mathbb{C}$  minus at most one point  $\pi(z)$ . The point  $\pi(z)$  is called the exceptional value of  $F(z, w)$  in  $z$ .

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In the present work we study  $\pi(z)$  and

$$\Omega = \{z \in \mathbb{C}^n \mid \pi(z) \text{ exists}\}$$

when  $F(z, w)$  is a holomorphic function in  $\mathbb{C}^n \times \mathbb{C}$  of finite order in  $w$ .

**1.1.** Given  $F(z, w)$  and  $r_j \in \mathbb{R}^+$  ( $j = 1, \dots, i-1$ ), if

$$M_F(r_1, \dots, r_{i-1}, z_i, \dots, z_n, t) = \max_{|w|=t, |z_j|=r_j} |F(z, w)|$$

we associate:

- The order of  $F(z, w)$  in  $z$ :

$$\rho(z) = \limsup_{t \rightarrow \infty} \frac{\log \log^+ M_F(z_1, \dots, z_n, t)}{\log t}.$$

It is defined as the order of  $w \mapsto F(z, w)$ . We say that  $F(z, w)$  is of finite order in  $w$  if  $\rho(z)$  is finite for any  $z$  in  $\mathbb{C}^n$ . According to [4, Theorem 1.41] (see also [4, p. 26]),  $F(z, w)$  is of finite order in  $w$  if and only if  $\rho(z)$  is finite in a non-pluripolar set of  $\mathbb{C}^n$ .

- The upper order of  $F(z, w)$  in the variable  $w$ :

$$\rho(r_1, \dots, r_n) = \limsup_{t \rightarrow \infty} \frac{\log \log^+ M_F(r_1, \dots, r_n, t)}{\log t}.$$

It does not depend on  $r_j$  ( $j = 1, \dots, n$ ), and then is a constant, denoted by  $\bar{\rho}_w$  [7, p. 110]. Moreover,  $\rho(z) \leq \bar{\rho}_w$  [7, p. 120].

According to a theorem due to Ronkin [7, Theorem 3.2.1] (proved by Lelong in case  $n = 1$ ), if  $F(z, w)$  is of finite order in  $w$ ,  $\bar{\rho}_w$  is finite. In fact,  $\rho(z) < \bar{\rho}_w$  in a set of class  $F_\sigma$ .

We will refer through this work to  $X$  as an *analytic subset of a domain  $D$  in  $\mathbb{C}^n$*  when, for each point  $p$  of  $D$ , there are an open neighbourhood  $U$  of  $p$  in  $D$  and a finite family of holomorphic functions on  $U$  such that  $X \cap U$  is the set of its common zeros. On the other hand, we will say that  $X$  is a *local analytic set* in  $D$  if the previous  $U$  and finite family of holomorphic functions exist for each point  $p$  of  $X$  (but not necessarily for  $p \in D \setminus X$ ). Clearly,  $X$  is an analytic subset of  $D$  if and only if,  $X$  is a local analytic set and is closed in  $D$ . Finally, the sets in  $\mathbb{C}^n$  defined by the common zeros of a finite family of holomorphic functions on  $\mathbb{C}^n$  are called *globally analytic sets of  $\mathbb{C}^n$* .

**1.2.** In 1963, Nishino [5] studied  $\pi(z)$  for a holomorphic  $F(z, w)$  in  $\mathbb{C} \times \mathbb{C}$  of finite order in  $w$ . He showed the following theorem in [5, p. 371]:

**Theorem.** (*Nishino*) *Let  $F(z, w)$  be a holomorphic function in  $\mathbb{C} \times \mathbb{C}$  of finite order in  $w$ . If there is a finite accumulation point  $z_0$  of  $\Omega$ , then there exists a meromorphic function  $\alpha(z)$  in  $\mathbb{C}$  such that  $\pi(z) = \alpha(z)$  except at points  $z$  in  $\mathbb{C}$  where  $\alpha(z)$  has poles or  $F(z, w)$  is constant. Moreover,  $F(z, w)$  is a polynomial in  $w$  when  $\alpha(z)$  is  $\infty$ .*

Nishino's Theorem implies that

1) The set  $\Omega$  is a local analytic set in  $\mathbb{C}$ . Let

$$A = \{z \in \mathbb{C} \mid F(z, w) \text{ is constant}\}$$

and consider the Hartogs series expansion of  $F(z, w)$  centered at  $w = 0$ :

$$F(z, w) = \sum_{k=0}^{\infty} F_k(z)w^k,$$

with  $F_k(z)$  ( $k = 0, 1, \dots$ ) holomorphic in  $\mathbb{C}$ . Since any point  $z$  in  $A$  holds  $\{F_k(z) = 0\}$  ( $k = 1, 2, \dots$ ),  $A$  has no finite accumulation points, and then it is proper analytic subset of  $\mathbb{C}$ . Then, there is a proper analytic subset  $E$  of  $\mathbb{C}$  such that either  $\Omega \subset E$  (all the points of  $\Omega$  are isolated) or  $\Omega = \mathbb{C} \setminus E$ , where  $E$  is given by the union of the set of poles of  $\alpha(z)$  and  $A$ . In both cases,  $\Omega$  is a local analytic set of  $\mathbb{C}$ .

2) The graph  $G_\pi$  of  $\pi : \Omega \rightarrow \mathbb{C}$  is a subset of the graph of a meromorphic function  $\alpha(z)$  in  $\mathbb{C}^2$  in presence of a finite accumulation point  $z_0$  of  $\Omega$ . This does not necessarily occur if  $F(z, w)$  is not of finite order in  $w$ . Let us consider the holomorphic function, [5, p. 367]:

$$F(z, w) = \begin{cases} \frac{e^{ze^w} - 1}{z} & \text{if } z \neq 0 \\ e^w & \text{if } z = 0 \end{cases}$$

In this case, if  $\alpha(z) = -1/z$ ,  $\pi(z) = \alpha(z)$  when  $z \neq 0$ . However,  $\pi(0) = 0$ , and  $(0, 0)$  is in  $G_\pi$  but not in the graph of  $\alpha(z)$  in  $\mathbb{C}^2$ . Moreover, note that in this example  $\alpha(0) = \infty$  but  $F(0, w)$  is not a polynomial.

In this work we are interested in studying the generalization of Nishino's Theorem to any number of variables:

**Problem 1.** Consider  $n \geq 2$  and a finite accumulation point  $z_0$  of  $\Omega$ . We want to analyze if there is a neighborhood  $U$  of  $z_0$  in  $\mathbb{C}^n$  such that  $\Omega \cap U$  is a local analytic set in  $U$ , and extend Nishino's Theorem when  $\Omega \cap U$  is a local analytic set of dimension  $n$ , by explicitly determining  $F(z, w)$  for it.

We also want to apply the solution of Problem 1 in order to obtain a similar description of  $\Omega$  as in case  $n = 1$ :

**Problem 2.** We want to study if  $\Omega$  is a local analytic set in  $\mathbb{C}^n$ , and if there exists a proper analytic subset  $E$  of  $\mathbb{C}$  such that either  $\Omega \subset E$  or  $\Omega = \mathbb{C} \setminus E$  when  $n \geq 2$ .

**1.3.** Let  $F(z, w)$  be a holomorphic function in  $\mathbb{C}^n \times \mathbb{C}$  of finite order in  $w$ . Lelong and Gruman studied the set

$$Z^0 = \{z \in \mathbb{C}^n \mid (\text{for all } w) F(z, w) \neq 0\}.$$

Lelong proved in [3] when  $n = 1$  (see also [2, Corollary, p. 688]) that  $Z^0$  or  $\mathbb{C} \setminus Z^0$  is discrete. Later, Lelong and Gruman studied the case  $n \geq 2$ , and proved in [4, Theorem 3.44] the following theorem:

**Theorem.** (*Lelong-Gruman*) Let  $F(z, w)$  be a holomorphic function in  $\mathbb{C}^n \times \mathbb{C}$  of finite order in  $w$ , with  $n \geq 2$ . Consider  $A^0 = \{z \in \mathbb{C}^n \mid F(z, w) \equiv 0\}$ . Then  $Z^0 \cup A^0$  is contained in a proper analytic subset of  $\mathbb{C}^n$  unless  $Z^0 \cup A^0 = \mathbb{C}^n$ .

**Problem 3.** We want to study whether Lelong-Gruman Theorem follows from the answer to Problem 2, and thus obtain a generalization of it.

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## 2. STATEMENT OF RESULTS

### 2.1. Main result.

**Theorem 1.** Let  $F(z, w)$  be a holomorphic function in  $\mathbb{C}^n \times \mathbb{C}$  of finite order in  $w$ , with  $n \geq 2$ . Consider a finite accumulation point  $z_0$  of  $\Omega$ . Then there exists a neighborhood  $U$  of  $z_0$  in  $\mathbb{C}^n$  such that  $\Omega \cap U$  is a local analytic set in  $U$  and  $\pi(z)$  is holomorphic over  $\Omega \cap U$ . Moreover, there is a proper globally analytic set  $\Delta$  of  $\mathbb{C}^n$  such that either  $\Omega \cap U \subset \Delta \cap U$  or  $\Omega \cap U = (\mathbb{C}^n \setminus \Delta) \cap U$ . In the latter case, when dimension of  $\Omega \cap U$  is  $n$ ,  $F(z, w)$  can be explicitly determined: there are holomorphic functions  $\xi_i(z)$  ( $i = 1, 2$ ),  $v_k(z)$  ( $k = 1, \dots, d \in \mathbb{N}^+$ ) and  $\eta(z)$  in  $\mathbb{C}^n$  such that

$$F(z, w) = \begin{cases} \frac{\xi_2(z)(e^{\xi_1(z)[v_1(z)w + \dots + v_d(z)w^d]} - 1)}{\xi_1(z)} + \eta(z) & \text{if } \xi_1(z) \neq 0 \\ \xi_2(z)[v_1(z)w + \dots + v_d(z)w^d] + \eta(z) & \text{if } \xi_1(z) = 0 \end{cases}$$

**Remark 1.** We determined  $F(z, w)$  in [1] under more restrictive conditions. Concretely, if there is a neighborhood  $U$  of  $z_0$  in  $\mathbb{C}^n$  contained in  $\Omega$  and  $F(z, w)$  is not constant for any  $z$  in  $\mathbb{C}^n$ .

**2.2. Extension of Nishino's Theorem.** Theorem 1 solves Problem 1, since it implies that  $\Omega \cap U$  is a local analytic set, for a neighborhood  $U$  of  $z_0$  in  $\mathbb{C}^n$ , and allows to obtain explicitly  $F(z, w)$  (on  $\mathbb{C}^n$ ) when  $\Omega \cap U$  is of dimension  $n$ , deducing from it Nishino's Theorem.

**Corollary 1.** Let  $F(z, w)$  be a holomorphic function in  $\mathbb{C}^n \times \mathbb{C}$  of finite order in  $w$ , with  $n \geq 2$ . If there exists a neighborhood  $U$  of  $z_0$  such that  $\Omega \cap U$  is a local analytic set of dimension  $n$  then:

- a) There is a meromorphic function  $\alpha(z)$  in  $\mathbb{C}^n$  such that  $\pi(z) = \alpha(z)$  on  $\Omega$ , where  $\Omega = \mathbb{C}^n \setminus E$  and  $E$  is a proper globally analytic set of  $\mathbb{C}^n$ . Moreover,  $E = A \cup E'$ , where  $A$  is the set of points  $z$  in  $\mathbb{C}^n$  such that  $F(z, w)$  is constant and  $E'$  is the set of poles of  $\alpha(z)$ .
- b) It holds  $F(z, w)$  is a polynomial in  $w$  if and only if  $z \in E$ .
- c) The order  $\rho(z)$  of  $F(z, w)$  in  $w$  is  $d \in \mathbb{N}^+$ , except on a globally analytic set of  $\mathbb{C}^n$  where  $\rho(z) < d$ .

Note that a) in Corollary 1 is a generalization for  $n \geq 2$  of 1) and 2) of 1.2. In particular, we obtain Nishino's Theorem (see 1.2) for  $n \geq 2$ :

**Corollary 2.** *Let  $F(z, w)$  be a holomorphic function in  $\mathbb{C}^n \times \mathbb{C}$  of finite order in  $w$ , with  $n \geq 2$ . Consider a finite accumulation point  $z_0$  of  $\Omega$ . If there exists a neighborhood  $U$  of  $z_0$  such that  $\Omega \cap U$  is a local analytic set of dimension  $n$ , then there exists a meromorphic function  $\alpha(z)$  in  $\mathbb{C}^n$  such that  $\pi(z) = \alpha(z)$  except at points  $z$  in  $\mathbb{C}^n$  where  $\alpha(z)$  has poles or  $F(z, w)$  is constant. Moreover,  $F(z, w)$  is a polynomial in  $w$  if  $\alpha(z)$  is  $\infty$ .*

**2.3. Description of  $\Omega$ .** Theorem 1 and Theorem of Ronkin [7, Theorem 3.2.1] (see 1.1) allow to describe  $\Omega$  as in case  $n = 1$  (see 1.2):

**Theorem 2.** *Let  $F(z, w)$  be a holomorphic function in  $\mathbb{C}^n \times \mathbb{C}$  of finite order in  $w$ , with  $n \geq 2$ . Then  $\Omega$  is a local analytic set in  $\mathbb{C}^n$  and there exists a proper analytic subset  $E$  of  $\mathbb{C}^n$  such that  $\Omega \subset E$  or  $\Omega = \mathbb{C}^n \setminus E$ .*

**Example.** Denote  $x = (z_1, \dots, z_{n-1})$  in  $\mathbb{C}^{n-1}$  and  $z = (x, z_n)$  in  $\mathbb{C}^n$ . Let  $f(x)$  and  $g(z)$  be holomorphic functions in  $\mathbb{C}^{n-1}$  and  $\mathbb{C}^n$ , respectively, and define

$$F(z, w) = \begin{cases} \frac{e^{g(z)w}}{g(z)} - \frac{1}{g(z)} + w [z_n - f(x)] e^{g(z)w} & \text{if } g(z) \neq 0 \\ w [1 + z_n - f(x)] & \text{if } g(z) = 0 \end{cases}$$

It holds that  $\Omega = G_f \cap \{g(z) \neq 0\}$ , where  $G_f$  is the graph of  $f(x)$  in  $\mathbb{C}^n$ . For each finite accumulation point of  $\Omega$  there is a neighbourhood  $U$  of it such that  $\Omega \cap U$  is contained in an analytic set of dimension  $n - 1$ . In this case  $\Omega \subset G_f$  is a local analytic set in  $\mathbb{C}^n$  of dimension  $n - 1$ .

**2.4. Lelong-Gruman Theorem.** Consider  $n \geq 2$  and define

$$\Omega^0 = \{z \in \mathbb{C}^n \mid \pi(z) = 0\} \subset \Omega$$

and  $A = \{z \in \mathbb{C}^n \mid F(z, w) \text{ is constant}\}$ . Note that  $A$  is a proper analytic subset of  $\mathbb{C}^n$ : as in case  $n = 1$  (1.2), we can expand  $F(z, w)$  as Hartogs series centered at  $w = 0$ ,

$$F(z, w) = \sum_{k=0}^{\infty} F_k(z) w^k,$$

with  $F_k(z)$  ( $k = 0, 1, \dots$ ) holomorphic in  $\mathbb{C}^n$ . Since  $A$  is the intersection of the family  $\{F_k(z) = 0\}$  ( $k = 1, 2, \dots$ ), we know [6, Corollary 2.1] that  $A$  is a proper analytic subset of  $\mathbb{C}^n$ . Take  $Z^0$  and  $A^0$  defined in 1.3. These sets are related by the following equality

$$Z^0 \cup A^0 = \Omega^0 \cup A.$$

Theorem 2 implies:

**Corollary 3.** *Let  $F(z, w)$  be a holomorphic function in  $\mathbb{C}^n \times \mathbb{C}$  of finite order in  $w$ , with  $n \geq 2$ . There exists a proper analytic subset  $E_0$  of  $\mathbb{C}^n$  such that  $\Omega^0 \subset E_0$  or  $\Omega^0 = \mathbb{C}^n$ .*

Corollary 3 solves Problem 2 since  $Z^0 \cup A^0$  is contained in  $E_0 \cup A$  unless  $Z^0 \cup A^0 = \mathbb{C}^n$  and Lelong-Gruman Theorem follows from Theorem 2.

### 3. PROOFS

#### Proof of Theorem 1.

**3.1.** Consider a finite accumulation point  $z_0$  of  $\Omega$ . Let  $\mathbf{B}(z_0)$  be a ball centered at  $z_0$  of radius  $r_0 > 0$ . Since  $\rho(z)$  is finite for any  $z \in \mathbb{C}^n$  (see 1.1), we can assume that  $\rho(z)$  on  $\mathbf{B}(z_0)$  is bounded by a finite constant  $\rho_0$ . Define  $\Omega(z_0) = \Omega \cap \mathbf{B}(z_0)$ . Note that  $z_0$  can not be in  $\Omega(z_0)$  if  $z_0 \in \partial\Omega(z_0)$ .

Take  $z$  in  $\Omega(z_0)$ . It follows by Hadamard's Theorem that

$$F(z, w) - \pi(z) = e^{g(z, w)},$$

with  $g(z, w)$  a polynomial in  $w$  of degree  $\rho(z)$ . Therefore  $\rho(z) \in \mathbb{N}^+$  on  $\Omega(z_0)$  and  $\rho_0 > 0$  (see, [8, 8.24 and 8.26]).

Consider  $\eta(z) = F(z, 0)$  and define

$$\tilde{F}(z, w) = F(z, w) - \eta(z).$$

If  $z$  in  $\Omega(z_0)$ , then  $\pi(z) - \eta(z)$  is an exceptional value of  $\tilde{F}(z, w)$ . Note that  $\pi(z) - \eta(z)$  is not zero since  $\tilde{F}(z, 0)$  is identically zero. Define  $C_0(z)$  such that

$$-1/C_0(z) = \pi(z) - \eta(z).$$

We can write

$$\tilde{F}(z, w) = \frac{e^{g(z, w)}}{C_0(z)} - \frac{1}{C_0(z)},$$

with  $g(z, w) = C_1(z)w + C_2(z)w^2 + \dots$  and  $C_k(z)$  complex numbers for  $k \geq 1$ . Note that  $C_k(z) = 0$  if  $k$  is an integer  $> \rho(z)$ .

**3.2.** Given  $z$  in  $\Omega(z_0)$ , take successive partial derivatives with respect to  $w$  of  $\tilde{F}(z, w)$  at  $w = 0$ . Because  $\tilde{F}(z, 0)$  is equal to zero, we obtain [5]:

$$1) C_1(z) = C_0(z)f_1(z), \text{ with } f_1(z) = \frac{\partial \tilde{F}}{\partial w}(z, 0),$$

$$2) C_2(z) = C_0(z)f_2(z) - \frac{1}{2}[C_1(z)]^2, \text{ with } f_2(z) = \frac{1}{2!} \frac{\partial^2 \tilde{F}}{\partial w^2}(z, 0),$$

and thus the general case:

$$k) C_k(z) = C_0(z)f_k(z) - Q_k[C_1(z), \dots, C_{k-1}(z)] \quad (k = 2, 3, \dots)$$

where  $Q_k$  is a polynomial of  $k - 1$  variables with coefficients in  $\mathbb{Q}$ , and

$$f_k(z) = \frac{1}{k!} \frac{\partial^k \tilde{F}}{\partial w^k}(z, 0).$$

Then, if  $z$  is in  $\Omega(z_0)$  we conclude [5, p. 370]:

- (i)  $C_k(z)$  is expressed as a polynomial in the variable  $C_0(z)$  with coefficients given by holomorphic functions  $f_k(z)$  ( $k = 1, 2, \dots$ ) in  $\mathbb{C}^n$  evaluated in  $z$ , for each positive integer  $k$ . Then, there exist polynomials  $R_k(z, w)$  ( $k = 1, 2, \dots$ ) in  $w$  whose coefficients are holomorphic functions in  $\mathbb{C}^n$  such that

$$C_k(z) = R_k(z, C_0(z)).$$

- (ii) Since  $\rho(z) \leq \rho_0$ , if  $d = [\rho_0]$ , it holds  $C_k(z) = 0$  for each integer  $k \geq d + 1$ .

The most important consequence of the above points is the following lemma:

**Lemma 1.** *Fixed a point  $(z', w_1)$  in  $\mathbf{B}(z_0) \times \mathbb{C}$ , with  $w_1 \neq 0$ , then  $R_k(z', w_1) = 0$  for  $k \geq d + 1$  and infinitely many  $f_k(z')$  ( $k = 1, 2, \dots$ ) are different from zero if and only if  $\tilde{F}(z', w)$  has an exceptional value  $-1/w_1$ .*

*Proof.* If  $R_k(z', w_1) = 0$  for  $k \geq d + 1$ , by definition of  $R_k(z, w)$  ( $k = 1, 2, \dots$ ) given in (i), and 1), 2) and  $k$ ) in 3.2, one can obtain  $f_k(z')$  recursively in terms of  $R_k(z', w_1)$  ( $k = 1, 2, \dots$ ). If infinitely many  $f_k(z')$  ( $k = 1, 2, \dots$ ) are  $\neq 0$ , they determine

$$\tilde{F}(z', w) = \frac{e^{g(z', w)}}{w_1} - \frac{1}{w_1},$$

with  $g(z', w) = R_1(z', w_1)w + R_2(z', w_1)w^2 + \dots + R_d(z', w_1)w^d$ . Therefore  $\tilde{F}(z', w)$  has an exceptional value  $-1/w_1$ . The other implication is clear from 3.1, 3.2.  $\square$

**Remark 2.** After Lemma 1,  $z$  is in  $\Omega(z_0)$  if and only if there exists  $w \neq 0$  such that  $R_k(z, w) = 0$  for  $k \geq d + 1$  and infinitely many  $f_k(z)$  are not zero. In this case,  $\pi(z) = \eta(z) - 1/w$ .

**Lemma 2.** *If  $A^p$  is the set of points  $z$  in  $\mathbb{C}^n$  such that  $F(z, w)$  is a polynomial in  $w$ , then  $A^p \cap \mathbf{B}(z_0)$  is an analytic subset of  $\mathbf{B}(z_0)$ .*

*Proof.* Consider the expansion of  $F(z, w)$  as Hartogs series centered at  $w = 0$  (see 2.4). Define the family of subsets  $U_k \subset A^p$  ( $k = 0, 1, \dots$ ) of points  $z$  in  $\mathbf{B}(z_0)$  such that  $F(z, w)$  is a polynomial of degree at most  $k$ . It holds that  $U_k$  is the intersection of the family of analytic subsets of  $\mathbf{B}(z_0)$ :  $\{F_j(z) = 0\} \cap \mathbf{B}(z_0)$  ( $j = k + 1, \dots$ ), and then an analytic subset of  $\mathbf{B}(z_0)$  [6, Corollary 2.1]. It is clear that  $A^p = \bigcup_{k=0}^{\infty} U_k$  and that  $U_k \subset U_{k+1}$  ( $k = 0, 1, \dots$ ). Since the dimension of  $U_k$  is  $0 < d_k \leq n - 1$  and  $d_k \leq d_{k+1}$  ( $k = 0, 1, \dots$ ), there is  $k_0 \in \mathbb{N}$  such that  $U_k = U_{k_0}$  ( $k = k_0 + 1, \dots$ ) and  $A^p = U_{k_0}$  [6, Remark 2.10].  $\square$

### 3.3.

**Proposition 1.** *Let  $F(z, w)$  be a holomorphic function in  $\mathbb{C}^n \times \mathbb{C}$  of finite order in  $w$ , with  $n \geq 2$ . Consider a finite accumulation point  $z_0$  of  $\Omega$ . Then there exists a neighborhood  $U$  of  $z_0$  in  $\mathbb{C}^n$  such that  $\Omega \cap U$  is a local analytic set in  $U$  and  $\pi(z)$  is holomorphic over  $\Omega \cap U$ . Moreover, there is a proper globally analytic set  $\Delta$  of  $\mathbb{C}^n$  such that either  $\Omega \cap U \subset \Delta \cap U$  or  $\Omega \cap U = (\mathbb{C}^n \setminus \Delta) \cap U$ .*

*Proof.* Take  $U = \mathbf{B}(z_0)$ . Consider the family  $\{S_j\}$  ( $j = 1, 2, \dots$ ) of globally analytic sets of  $\mathbb{C}^{n+1}$  defined by  $\{R_{d+j}(z, w) = 0\}$  where  $R_{d+j}(z, w)$  are as in 3.2. The points  $(z, w)$  in  $\mathbb{C}^{n+1}$  such that  $z$  in  $\Omega(z_0)$  and  $w = C_0(z)$  define a subset  $L \subset S_j$  ( $j = 1, 2, \dots$ ). Then the intersection of  $\{S_j\}$  defines a globally analytic set  $S$  of  $\mathbb{C}^{n+1}$  containing  $L$  [6, Corollary 2.1].

Let  $\tilde{z} \in \Omega(z_0)$ . By Lemma 2, there is a ball  $\mathbf{B}(\tilde{z}) \subset \mathbb{C}^n$  of center  $\tilde{z}$  contained in  $\mathbf{B}(z_0)$  such that  $\mathbf{B}(\tilde{z}) \cap A^p$  is empty. Then, for any  $z \in \mathbf{B}(\tilde{z})$ , infinitely many  $f_k(z)$  ( $k = 1, 2, \dots$ ) are  $\neq 0$ . Consider  $S^* = S \setminus \{w = 0\}$ ,  $\tilde{L} = L \cap (\mathbf{B}(\tilde{z}) \times \mathbb{C})$ , and the projection  $\Pi_1 : \mathbb{C}^{n+1} \rightarrow \mathbb{C}^n$ ,  $\Pi_1(z, w) = z$ . After Lemma 1 and Remark 2, it follows that

$$\tilde{L} = S^* \cap (\mathbf{B}(\tilde{z}) \times \mathbb{C})$$

and  $\Pi_1(\tilde{L}) = \Omega(z_0) \cap \mathbf{B}(\tilde{z})$ . Since  $\Pi_{1|S} : S \rightarrow \Pi_1(S)$  is a proper map, then  $\Omega(z_0) \cap \mathbf{B}(\tilde{z})$  is a local analytic set. It proves that  $\Omega \cap U$  is a local analytic set in  $U$ . It follows by [6, Remark 2.8] that  $C_0(z)$  and  $\pi(z) = -1/C_0(z)$  are holomorphic on  $\Omega(z_0) \cap \mathbf{B}(\tilde{z})$ , and  $\pi(z)$  is holomorphic on  $\Omega \cap U$ .

Note that the above analysis implies that

$$L = S^* \cap ((\mathbf{B}(z_0) \setminus A^p) \times \mathbb{C})$$

is the graph of holomorphic function  $C_0(z)$  on  $\Omega(z_0)$ .

Due to [6, Remark 2.10],  $S$  must be the intersection of a finite family  $\{S_{r_j}\}$  ( $j = 1, \dots, q$ ) where  $r_j$  ( $j = 1, \dots, q$ ) are different integers in  $\mathbb{N}^+$ . Explicitly, according to 3.2, each  $S_{r_j}$  ( $j = 1, \dots, q$ ) is defined by the zeros of a polynomial in  $w$  with holomorphic coefficients:

$$R_{d+r_j}(z, w) = A_0^j(z) + A_1^j(z)w + \dots + A_{l_j}^j(z)w^{l_j},$$

with  $A_h^j(z)$  ( $h = 0, \dots, l_j \in \mathbb{N}$ ) holomorphic in  $\mathbb{C}^n$ , where  $A_0^j(z)$  is assumed to be not identically zero since  $L$  does not intersect  $\{w = 0\}$ .

There are two possibilities:

- 1) There exists  $j_0 \in \{1, \dots, q\}$  with  $l_{j_0} > 1$ . Consider the discriminant  $d_{j_0}(z)$  of  $R_{d+r_{j_0}}(z, w)$  with respect to  $w$ . In this case, for  $z \in \Omega(z_0)$ , it holds

$$A_{l_{j_0}}^{j_0}(z) \cdot d_{j_0}(z) = 0,$$

since  $A_{l_{j_0}}^{j_0}(z) \neq 0$  implies  $d_{j_0}(z) = 0$ . Otherwise the  $l_{j_0}$  points:  $(z, w_i)$  ( $i = 1, \dots, l_{j_0}$ ) are in  $L$ , which is not possible because  $L$  is the graph of a holomorphic function. Since  $\Omega(z_0) \subset \mathcal{E}_{j_0} \cap U$ , with

$$\mathcal{E}_{j_0} = \{A_{l_{j_0}}^{j_0}(z) = 0\} \cup \{d_{j_0}(z) = 0\},$$

then  $\Omega(z_0) \subset \Delta \cap U$ , where  $\Delta$  is the intersection of the family of sets  $\{\mathcal{E}_{j_0}\}$ , with  $j_0 \in \{1, \dots, q\}$  and  $l_{j_0} > 1$ .

- 2) For all  $j \in \{1, \dots, q\}$ ,  $l_j = 1$ . In this case  $S$  is the intersection of

$$S_j = \{A_0^j(z) + A_1^j(z)w = 0\} \quad (j = 1, \dots, q).$$



Take  $\xi_{1j}(z), \xi_{2j}(z)$  ( $j = 1, \dots, q$ ) holomorphic functions in  $\mathbb{C}^n$  relatively prime at any  $z$  such that  $A_0^j(z) + A_1^j(z)w = p^j(z)[\xi_{1j}(z)(z) - \xi_{2j}(z)w]$ , with  $p^j(z)$  holomorphic in  $\mathbb{C}^n$ . Consider

$$\Gamma_j(z) = \begin{vmatrix} A_0^1(z) & A_0^j(z) \\ A_1^1(z) & A_1^j(z) \end{vmatrix} \quad (j = 1, \dots, q).$$

2a) (All  $S_j$  are dependent) If  $q = 1$ , or  $q > 1$  and  $\Gamma_j(z) \equiv 0$  ( $j = 2, \dots, q$ ) :  $\Omega(z_0) = (\mathbb{C}^n \setminus \Delta) \cap U$ , with  $\Delta = \Delta_1 \cup \Delta_2$ , where

$$\Delta_1 = \{\xi_{11}(z) = 0\} \cup \{\xi_{21}(z) = 0\}$$

and  $\Delta_2$  is given by the intersection of  $\{p^j(z) = 0\}$  ( $j = 1, \dots, q$ ).

2b) If  $q > 1$  and there is  $j_0 \in \{2, \dots, q\}$  such that  $\Gamma_{j_0}(z)$  is not identically zero,  $\Delta_{j_0} = \{\Gamma_{j_0}(z) = 0\}$  defines a proper globally analytic of  $\mathbb{C}^n$  such that  $\Omega(z_0) \subset \Delta_{j_0} \cap U$ . Then  $\Omega(z_0) \subset \Delta \cap U$ , where  $\Delta$  is the intersection of sets  $\Delta_{j_0}$ , with  $j_0 \in \{2, \dots, q\}$  such that  $\Gamma_{j_0}(z) \not\equiv 0$ .

It finishes the proof of Proposition 1.  $\square$

**3.4.** Let us suppose that dimension of  $\Omega(z_0)$  is  $n$ . It is clear that 2a) of 3.3 holds and  $\Omega(z_0) = (\mathbb{C}^n \setminus \Delta) \cap U = \mathbf{B}(z_0) \setminus \Delta$ . Denote  $\xi_{11}(z)$  and  $\xi_{21}(z)$ , respectively, by  $\xi_1(z)$  and  $\xi_2(z)$ . Consider

$$\xi(z) = \frac{\xi_1(z)}{\xi_2(z)} = -\frac{A_0^1(z)}{A_1^1(z)}.$$

The meromorphic function  $-1/\xi(z)$  restricted to  $\mathbf{B}(z_0) \setminus \Delta$  is equal to  $-1/C_0(z)$ . If we substitute  $C_0(z)$  by  $\xi(z)$  (meromorphic function in  $\mathbb{C}^n$ ) in the definitions of  $C_k(z)$  of 3.2, taking  $C_k(z) = R_k(z, \xi(z))$  ( $k = 1, 2, \dots$ ) we obtain a function

$$G(z, w) = \frac{e^{C_1(z)w + \dots + C_d(z)w^d}}{\xi(z)} - \frac{1}{\xi(z)}.$$

Note that  $G(z, w)$  is holomorphic in  $(\mathbb{C}^n \setminus \Delta) \times \mathbb{C}$  and coincides with  $\tilde{F}(z, w)$  in  $(\mathbf{B}(z_0) \setminus \Delta) \times \mathbb{C}$  (see 3.2). Then,  $G(z, w)$  is holomorphic in  $\mathbb{C}^{n+1}$  and equals to  $\tilde{F}(z, w)$ . Therefore, if  $g(z, w) = C_1(z)w + \dots + C_d(z)w^d$ ,  $g(z, w)/\xi(z)$  is holomorphic in  $\mathbb{C}^{n+1}$  and  $g(z, w) = \xi(z)[u_1(z)w + \dots + u_d(z)w^d]$  with  $u_k(z)$  holomorphic in  $\mathbb{C}^n$  ( $k = 1, 2, \dots, d$ ).

Explicitly,

$$\begin{aligned} \tilde{F}(z, w) &= \frac{1}{1!}[u_1(z)w + \dots + u_d(z)w^d] + \\ &\quad + \frac{\xi(z)}{2!}[u_1(z)w + \dots + u_d(z)w^d]^2 + \\ &\quad + \frac{\xi(z)^2}{3!}[u_1(z)w + \dots + u_d(z)w^d]^3 + \dots \end{aligned}$$

**3.5.** It holds that

$$\{z \in \mathbb{C}^n \mid \tilde{F}(z, w) \text{ is constant}\} = \{z \in \mathbb{C}^n \mid u_1(z) = \cdots = u_d(z) = 0\}.$$

First we treat the case where  $\xi(z)$  is holomorphic.

Consider  $z'$  in  $\mathbb{C}^n$  such that  $\tilde{F}(z', w)$  is constant. If  $\xi(z') = 0$ , according to the expansion of  $\tilde{F}(z, w)$  in 3.4, it holds if and only if  $u_1(z')w + \cdots + u_d(z')w^d = 0$  for any  $w \in \mathbb{C}$ , or equivalently if  $u_k(z') = 0$  ( $k = 1, \dots, d$ ). If  $\xi(z') \neq 0$ , as

$$\xi(z)\tilde{F}(z, w) + 1 = e^{\xi(z) \cdot [u_1(z)w + \cdots + u_d(z)w^d]},$$

in the same way it is equivalent to  $u_k(z') = 0$  ( $k = 1, \dots, d$ ).

Now, we treat the case where  $\xi(z)$  is not holomorphic.

Consider a point  $z'$  in  $\mathbb{C}^n$  such that  $\xi_1(z') \neq 0$  and  $\xi_2(z') = 0$ . Assume that there is  $w_1$  such that  $[u_1(z')w_1 + \cdots + u_d(z')w_1^d] \neq 0$  and take a ball  $\mathbf{B}(z', w_1)$  in  $\mathbb{C}^{n+1}$  centered at  $(z', w_1)$  of radius  $r_1 > 0$  such that  $\overline{\mathbf{B}}(z', w_1) \cap \{(z, w) \mid \xi_1(z) = 0\}$  is empty. Take a line  $\ell$  in  $\mathbb{C}^{n+1}$  passing through  $(z', w_1)$  and consider  $\ell_0 = \ell \cap \overline{\mathbf{B}}(z', w_1)$ . We can assume by [6, Lemma 2.8] that

$$\ell_0 \cap \{(z, w) \mid \xi_2(z) = 0\} = \{(z', w_1)\}.$$

Suppose that  $\ell_0$  is defined by  $\{(z, w) = \lambda_0 t + (z', w_1)\}$ , for a fixed  $\lambda_0 \in \mathbb{C}^{n+1}$  and  $t$  in a disk  $\mathbb{D}_\epsilon$  in  $\mathbb{C}$  of center  $t = 0$  and radius sufficiently small  $\epsilon > 0$ . It follows by the above expansion of  $\tilde{F}(z, w)$  in 3.4 that  $\tilde{F}(z, w)$  over  $L_0$  is of the form  $(e^{g(t)} - 1)/g(t)$ , where  $g(t)$  is holomorphic on  $\mathbb{D}_\epsilon \setminus \{0\}$ , and with a pole of positive order at  $t = 0$ . It implies the existence of an essential singularity of  $\tilde{F}(z, w)$  over  $\ell_0$  at  $(z', w_1)$  and contradicts that  $\tilde{F}(z, w)$  is holomorphic. Then, if  $\xi_1(z') \neq 0$  and  $\xi_2(z') = 0$  necessarily  $[u_1(z')w + \cdots + u_d(z')w^d] = 0$ , for any  $w$  in  $\mathbb{C}$ , and  $u_k(z') = 0$  ( $k = 1, \dots, d$ ). It follows that

$$\{\xi_2(z) = 0\} \subset \{u_1(z) = \cdots = u_d(z) = 0\}.$$

Then  $u_k(z) = v_k(z)\xi_2(z)$  ( $k = 1, \dots, d$ ), with  $v_k(z)$  holomorphic in  $\mathbb{C}^n$ , and

$$\begin{aligned} \tilde{F}(z, w) &= \frac{1}{1!}\xi_2(z)[v_1(z)w + \cdots + v_d(z)w^d] + \\ &\quad + \frac{\xi_1(z)\xi_2(z)}{2!}[v_1(z)w + \cdots + v_d(z)w^d]^2 + \\ &\quad + \frac{\xi_1(z)^2\xi_2(z)}{3!}[v_1(z)w + \cdots + v_d(z)w^d]^3 + \cdots \end{aligned}$$

From this expression, it is clear that a point  $z$  in  $\mathbb{C}^n$  verifies  $\tilde{F}(z, w)$  is constant if and only if  $u_k(z) = 0$  ( $k = 1, \dots, d$ ), and  $F(z, w) = \tilde{F}(z, w) + \eta(z)$  is as in the statement of Theorem.

**Proof of Corollary 1.** It is enough to analyze the explicit expression of  $F(z, w)$  obtained in the statement of Theorem 1. For a), we take

$$\alpha(z) = -\frac{\xi_2(z)}{\xi_1(z)} + \eta(z),$$

$A = \{\xi_2(z) = 0\} \cup \{v_1(z) = \dots = v_d(z) = 0\}$ , and  $E' = \{\xi_1(z) = 0\}$ . The point  $b$ ) is clear. For  $c$ ), we see  $\rho(z)$  is  $d$ , except on  $E \cup \{v_d(z) = 0\}$  where is  $< d$ .

**Proof of Corollary 2.** It follows directly from  $a$ ),  $b$ ) of Corollary 1.

**Proof of Theorem 2.** Consider  $\Omega_1$  and  $\Omega_2$ , respectively, the set of finite accumulation points of  $\Omega$  and the set of isolated points of  $\Omega$ . If  $\Omega_1$  is empty,  $\Omega = \Omega_2$  is closed and discrete in  $\mathbb{C}^n$ , and then it defines a proper analytic subset  $E$  of  $\mathbb{C}^n$ . Suppose  $z_0 \in \Omega_1$ . If there exists a neighborhood  $U$  of  $z_0$  such that  $\Omega \cap U$  is a local analytic set of dimension  $n$ , according to  $a$ ) of Corollary 1, there exists a proper analytic subset  $E$  of  $\mathbb{C}^n$  such that  $\Omega = \mathbb{C}^n \setminus E$  (note that in this case,  $\Omega_2$  is empty). If there exists a neighborhood  $U$  of  $z_0$  such that  $\Omega \cap U$  is a local analytic set of dimension  $< n$ , according to the proof of Theorem 1, concretely, Proposition 1, there exist a neighborhood  $U$  of  $z_0$  in  $\mathbb{C}^n$  and a proper globally analytic set  $\Delta$  of  $\mathbb{C}^n$  such that  $\Omega \cap U \subset \Delta \cap U$ . Theorem of Ronkin (see 1.1) allows to take  $\rho_0 = \bar{\rho}_w$  independently of the point  $z_0$ , and then conclude that  $\Delta$  is the same set for all the finite accumulation points of  $\Omega$ . Then, it is enough to define the proper analytic subset  $E = \Delta \cup \Omega_2$  of  $\mathbb{C}^n$  to obtain  $\Omega \subset E$ .

**Proof of Corollary 3.** Since  $\Omega^0 \subset \Omega$ , if dimension of  $\Omega$  is  $< n$ , the proof follows from Theorem 2 taking  $E_0 = E$ . If dimension of  $\Omega$  is  $n$ , according to  $a$ ) of Corollary 1,  $\Omega^0$  is defined by the analytic subset  $E_0$  of  $\mathbb{C}^n$  given by the zeros of  $\alpha(z)$ . Then  $E_0$  is proper if  $\alpha(z)$  is not identically zero or  $\mathbb{C}^n$  otherwise.

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